Advanced SQL

01 — The Core of SQL

Torsten Grust Universität Tübingen, Germany

1 The Core of SQL

- Let us recollect the **core constructs of SQL**, synchronize notation, and introduce query conventions.
- If you need to refresh your SQL memory, consider
 - the notes for Datenbanksysteme 1 (Chapters 6, 9, 13)
 - the PostgreSQL 9.6 web (Part II, The SQL Language)
- We will significantly expand on this base SQL vocabulary during the semester.

Sample Table

Table T serves as a common "playground" for the upcoming SQL queries:

Table T

<u>a</u>	b	С	d
1	'X'	true	10
2	'y'	true	40
3	'X'	false	30
4	'y'	false	20
5	ΪΧΪ	true	NULL

```
CREATE TABLE T (a int PRIMARY KEY, -- implies NOT NULL b text, -- here: char(1) c boolean, d int);
```

• Iterate over all rows of table T (in some order: bag semantics), bind row variable t to current row:

```
SELECT t -- ② t is bound to current row FROM T AS t -- ② bind/introduce t
```

- If you omit AS t in the FROM clause, a row variable T (generally: AS) will be implicitly introduced.
- This course: always explicitly introduce/name row variables for disambiguation, clarity, readability.

Row Values

```
SELECT t -- ② t is bound to current row FROM T AS t -- ② bind/introduce t
```

 Row variable t is iteratively bound to row values whose field values and types are determined by the rows of table T:

Row Types

- t:: T with T = (a int, b text, c boolean, d int). Row type T is defined when CREATE TABLE T (...) is performed.
- A row type <τ> can also be explicitly defined via

CREATE TYPE <t> AS (a int, b text, c boolean, d int)

 A table T1 equivalent to T — well, almost... — may then be created via

CREATE TABLE T1 OF <T>

¹ Read :: as "has type."

 Named field access uses dot notation. Assume t :: T and binding t ≡ (5, 'x', true, NULL) then:

- t.b evaluates to 'x' (of type text),
- t.d evaluates to NULL (of type int).
- Field names are *not* first-class in SQL and must be named verbatim (i.e., may *not* be computed).
- Notation t.* abbreviates t.a, t.b, t.c, t.d in contexts where this makes sense.²

² t.* is most often used in SELECT clauses.

Row Comparisons

• Row comparisons between rows t₁, t₂ are performed field-by-field and lexicographically (provided that the field types match). Assume t₁ :: T, t₂ :: T:

```
\circ t<sub>1</sub> = t<sub>2</sub> \iff t<sub>1</sub>.a = t<sub>2</sub>.a AND \cdots AND t<sub>1</sub>.d = t<sub>2</sub>.d \circ t<sub>1</sub> < t<sub>2</sub> \iff t<sub>1</sub>.a < t<sub>2</sub>.a OR (t<sub>1</sub>.a = t<sub>2</sub>.a AND t<sub>1</sub>.b < t<sub>2</sub>.b) OR \cdots
```

• A row value is NULL iff all of its field values are NULL.

Assume the binding t ≡ (NULL, NULL, NULL, NULL). Then t IS NULL holds.

A **SELECT clause** evaluates n expressions $\langle e_1 \rangle$, ..., $\langle e_n \rangle$:

```
SELECT \langle e_1 \rangle AS \langle c_1 \rangle, ..., \langle e_n \rangle AS \langle c_n \rangle
```

- Creates n columns named <c₁>, ..., <c_n>.
- In absence of AS $\langle c_i \rangle$, PostgreSQL assigns name "?column?" (for all such unnamed columns) \Rightarrow ambigiuity \bigcirc .
- This course: explicitly use AS to name columns unless a name can be derived from <e_i> (e.g., as in <e_i> ≡ t.a).
- If column or table names are case-sensitive or contain whitespace/symbols/keywords: use "<c_i>" instead.

Standalone SELECT

- If query Q generates n row bindings, SELECT is evaluated n times to emit n rows (but see aggregates below).
- A standalone SELECT (no FROM clause) is evaluated exactly once and emits a single row:

SELECT 1 + 41 AS "The Answer", 'Gla' | 'DOS' AS Portal;

The Answer	portal
42	GlaDOS

A VALUES clause constructs a transient table from a list of provided **row values** <e_i>:

```
VALUES \langle e_1 \rangle, ..., \langle e_n \rangle
```

- If n > 1, the $\langle e_i \rangle$ must agree in arity and field types (row value $\langle e_1 \rangle$ is used to infer and determine types).
- VALUES automatically assigns column names "column<i>".
 Use column aliasing to assign names (see FROM below).
- Orthogonality: a VALUES clause (in parentheses) may be used anywhere a SQL query expects a table.

A FROM clause expects a set of tables $\langle T_i \rangle$ and successively binds the row variables $\langle t_i \rangle$ to the tables' rows:

```
SELECT ... -- \sigma FROM \langle T_1 \rangle AS \langle t_1 \rangle, ..., \langle T_n \rangle AS \langle t_n \rangle -- \varpi
```

- The $\langle T_i \rangle$ may be table names or SQL queries computing tables (in (\cdots)).
- If you need to rename the columns of <T_i> (recall the VALUES clause), use column aliasing on all (or only the first k (2)) columns:

$$\langle T_i \rangle$$
 AS $\langle t_i \rangle (\langle c_{i1} \rangle, \ldots, \langle c_{ik} \rangle)$

```
SELECT ... FROM \langle T_1 \rangle AS \langle t_1 \rangle, ..., \langle T_n \rangle AS \langle t_n \rangle
```

- This FROM clause generates $|\langle T_1 \rangle| \times \cdots \times |\langle T_n \rangle|$ bindings. Semantics: compute the **Cartesian product** $\langle T_1 \rangle \times \cdots \times \langle T_n \rangle$, draw the bindings for the $\langle t_i \rangle$ from this product.
- FROM operates over a *set* of tables (',' is associative and commutative).
- In particular, row variable $\langle t_i \rangle$ is not in scope in the table subqueries $\langle T_{i+1} \rangle$, ..., $\langle T_n \rangle$.

A WHERE clause introduces a predicate that is evaluated under all row variable bindings generated by FROM:

- All row variables <t_i> are in scope in .
- Only bindings that yield = true are passed on.³
- Absence of a WHERE clause is interpreted as WHERE true.

³ If $\langle p \rangle$ evaluates to NULL \neq true, the binding is discarded.

7 Compositionality: Subqueries Instead of Values

**The meaning of a complex expression is determined by the meanings of constituent expressions. **?

—Principle of Compositionality

With the advent of the SQL-92 and SQL:1999 standards, SQL has gained in compositionality and orthogonality:

- Whenever a (tabular or scalar) value ν is required, a SQL expression in (…) a **subquery** may be used to compute ν .
- Subqueries nest to arbitrary depth.

Scalar Subqueries: Atomic Values

A SQL query that computes a **single-row**, **single-column table** (column name — irrelevant) may be **used in place of an atomic value** ν :



In a scalar subquery...

- ... an empty table is interpreted as NULL,
- ... a table with > 1 rows or > 1 columns will yield a runtime error.

Scalar Subqueries: Atomic Values 🔌

```
generate single column

SELECT 2 + (SELECT t.d AS _
FROM T AS t
WHERE t.a = 2) AS "The Answer"

equality predicate on key column,
will yield ≤ 1 rows
```

- Runtime errors: WHERE t.a > 2, SELECT t.a, t.d
- Yields NULL: WHERE t.a = 0
- AS _ assigns "don't care" column name this is a case where column naming is obsolete and adds nothing.

Scalar Subqueries: Row Values

A SQL query that computes a **single-row table** with column names $\langle c_i \rangle$ may be **used in place of row value** (v_1, \ldots, v_n) with field names $\langle c_i \rangle$:

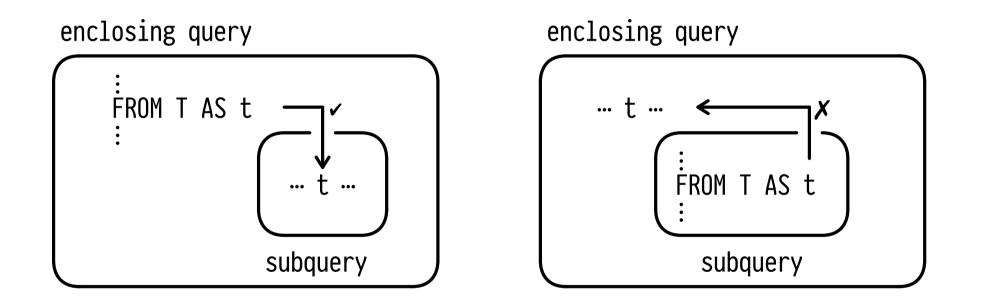


In a scalar subquery...

- ... an empty table is interpreted as (NULL, ..., NULL),
- ... a table with > 1 rows will yield a runtime error.

Row Variable Scoping

Subqueries may refer to any row variable t bound in their enclosing queries (up to the top-level query):⁴



Row variable scoping in SQL

 $^{^4}$ Note: From inside the subquery - i.e., inside the (\cdots) - row variable t is free.

Subqueries, Free Row Variables, Correlation

• If t is free in subquery q, we may understand the subquery as a function q(t): you supply a value for t, I will compute the (tabular) value of q:

```
SELECT t1.*
FROM T AS t1
WHERE t1.b <> (SELECT t2.b
FROM T AS t2
WHERE t1.a = t2.a)
free

evaluated 5 times
under t1 bindings:

t1 \equiv (1, ...)
t1 \equiv (2, ...)
t1 \equiv (3, ...)
t1 \equiv (4, ...)
t1 \equiv (5, ...)
```

 Subqueries featuring free variables are also known as correlated. SQL tables are unordered bags of rows, but rows may be locally ordered for result display or positional access:

```
SELECT ... -- 3
FROM ... -- 0
WHERE ... -- 2
ORDER BY <e<sub>1</sub>>, ..., <e<sub>n</sub>> -- 3
```

- The order of the <e_i> matters: sort order is determined lexicographically with <e₁> being the major criterion.
- The sort criteria <e_i> are expressions that may refer to column names in the SELECT clause.

SELECT t.* FROM T AS t ··· ◎

··· ORDER BY t.d ASC NULLS FIRST

a	b	С	d
5	'X'	true	NULL
1	'X'	true	10
4	'y'	false	20
3	'X'	false	30
2	'y'	true	40

... ORDER BY t.b DESC, t.c

a	b	С	d
4	'y'	false	20
2	'ý'	true	40
3	ΪXΪ	false	30
1	' X ^{1*}	true*	10
5	' X '*	true*	NULL

 Note: ASC (ascending) is default. NULL is larger than any non-NULL value. Ties*: order is implementation-dependent. ORDER BY establishes a well-defined row order that is **local** to the current (sub)query:

```
may yield rows in any order

SELECT t1.*
FROM (SELECT t2.*
FROM T AS t2
ORDER BY t2.a) AS t1;

guaranteed row order
inside the subquery only
```

- Never rely on row orders that appear consistent across runs — may vary between DBMSs, presence of indexes, etc.
- Q: What, then, is such local row order good for?

Once row order has been established it makes sense to "skip to the nth row" or "fetch the next m rows."



ORDER BY A₁ OFFSET <n>

start. LIMIT ALL fetches all rows.

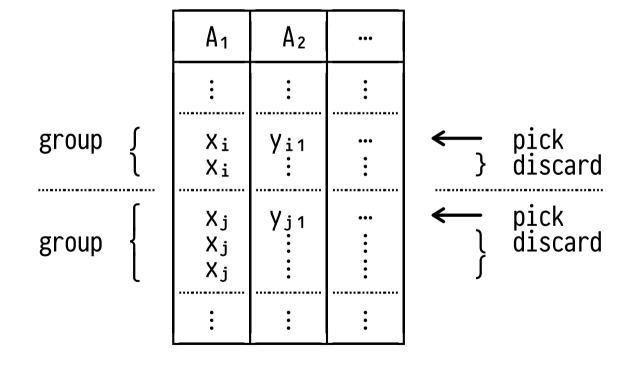
• Alternative syntax: FETCH [FIRST | NEXT] <m> ROWS ONLY.

Extract the first row among a group of equivalent rows:

- 1. Sort rows in $\langle e_1 \rangle, \ldots, \langle e_n \rangle, \langle e_{n+1} \rangle, \ldots, \langle e_m \rangle$ order.
- 2. Rows with identical $\langle e_1 \rangle, \ldots, \langle e_n \rangle$ values form one **group**.
- 3. From each of these groups, pick the first row in $\langle e_{n+1} \rangle, \ldots, \langle e_m \rangle$ order.
 - A Without ORDER BY, step 3 picks any row in each group.

DISTINCT ON: Group, Then Pick First in Each Group

-- For each A_1 , extract the row with the largest A_2 SELECT DISTINCT ON (A_1) ... FROM ... ORDER BY A_1 , A_2 DESC



DISTINCT: Table-Wide Duplicate Removal

Keep only a single row from each group of duplicates:

```
SELECT DISTINCT 3 (C<sub>1</sub>),...,(C<sub>k</sub>) -- @ -- 0
```

- True duplicate removal: rows are considered identical if they agree on all k columns <c_i>.5
- Row order is irrelevant. DISTINCT returns a set of rows.
- May use SELECT ALL ... to explicitly document that a query is expected to return duplicate rows.

⁵ This is equivalent to SELECT DISTINCT ON (<c₁>,...,<c_k>) <c₁>,...,<c_k> FROM

Aggregate functions (short: aggregates) reduce a collection of values to a single value (think summation, maximum).

• Simplest form: *collection* ≡ entire table:

```
SELECT <agg<sub>1</sub>>(<e<sub>1</sub>>) AS <c<sub>1</sub>>, ..., <agg<sub>n</sub>>(<e<sub>n</sub>>) AS <c<sub>n</sub>> FROM ...
```

- Reduction of input rows: result table will have one row.
- Cannot mix aggregates with non-aggregate expression <e>
 in SELECT clause: which value of <e>
 should we pick?

⁶ But see GROUP BY later on.

Aggregate Functions: Semantics

```
SELECT agg(e) AS c — e will typically refer to t
FROM T AS t — range over entire table T
```

Aggregate agg defined by triple (Φ^{agg}, z^{agg}, ⊕^{agg}):
 ο Φ^{agg} (empty): aggregate of the empty value collection
 c z^{agg} (zero): aggregate value initialiser
 ⊙ ⊕^{agg} (merge): add value to existing aggregate

```
-- a will be aggregate value

for t in T: -- iterate over all rows of T

x ← e(t) -- value to be aggregated

if x ≠ NULL: -- aggregates ignore NULL values (‡)

if a = Φ<sup>agg</sup>: -- once we see first non-NULL value:

a ← Z<sup>agg</sup> -- initialize aggregate

a ← ⊕<sup>agg</sup>(a, x) -- maintain running aggregate
```

Aggregate Functions: Semantics

Aggregate agg	\emptyset^{agg}	Z ^{agg}	⊕ ^{agg} (a, x)
COUNT	0	0	a + 1
SUM	NULL ⁷	0	a + x
AVG ⁸	NULL	<0, 0>	<a.1 +="" 1="" a.2="" x,=""></a.1>
MAX	NULL	-∞	$\max_{2}(a, x)$
MIN	NULL	+∞	$min_2(a, x)$
bool_and	NULL	true	a ^ x
bool_or	NULL	false	a v x
	•	•	:

 The special form COUNT(*) counts rows regardless of their fields' contents (NULL, in particular).

⁷ If you think "this is wrong," we're two already. Possible upside: sum differentiates between summation over an empty collection vs. a collection of all 0s.

⁸ Returns a.1 / a.2 as final aggregate value.

Aggregate Functions on Table T N

```
      SELECT
      COUNT(*)
      AS "#rows",

      COUNT(t.d)
      AS "#d",

      SUM(t.d)
      AS "Σd",

      MAX(t.b)
      AS "max(b)",

      bool_and(t.c)
      AS "∀c",

      bool_or(t.d = 30)
      AS "∃d=30"

      FROM
      T AS t

      WHERE
```

#rows	#d		max(b)		
_ 5	4	100	'y'	false	true
= false					
#rows	#d	Σd	max(b)	AC	0E=bE
0	()	NULL	NULL	NULL	NULL

- For most aggregates agg, ⊕^{agg} is commutative (and associative): row order does not matter.
- Order-sensitive aggregates admit a trailing ORDER BY <e₁>,...,<e_n> argument that defines row order:

```
-- cast to text separator string

SELECT string_agg(t.a :: text, ',' ORDER BY t.d) AS "all a"

FROM T AS t
```

all a '1,4,3,2,5'

 $^{^{9}}$ \oplus string-agg essentially is | (string concatenation) which is not commutative.

```
SELECT <agg>(<e>) FILTER (WHERE )
FROM ...
```

• FILTER clause alters aggregate semantics (see *):

```
:
x ← e(t)
if x ≠ NULL ∧ p(x):
:
```

```
SELECT <agg>(DISTINCT <e>)
FROM ...
```

Aggregates distinct (non-NULL) values of expression <e>.
 (May use ALL to flag that duplicates are expected.)

Once FROM has generated row bindings, SQL clauses operate row-by-row. After GROUP BY: operate group-by-group:

```
      SELECT (e<sub>1</sub>), ..., (e<sub>m</sub>)
      --- 6

      FROM ...
      --- 0

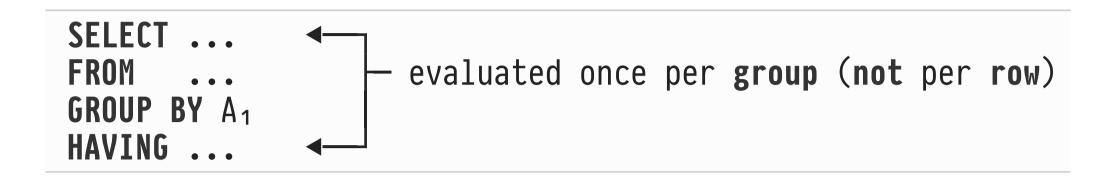
      WHERE ...
      --- 2

      GROUP BY (g<sub>1</sub>), ..., (g<sub>n</sub>)
      --- 3

      HAVING (p)
      --- 4
```

- All rows that agree on all expressions (g_i) (the set of grouping criteria) form one group.
- \Rightarrow At 4 and 5 we now process groups (not individual rows). This affects $\langle p \rangle$ and the $\langle e_i \rangle$.

GROUP BY Partitions Rows



	A ₁	A ₂	•••
	•	:	:
the x _i group {	X _i X _i	Уі1 Уі2	:
the x _j group {	Xj Xj	Уј1 Уј2	:
	•	•	•

Grouping partitions the row bindings:

- there are no empty groups
- each row belongs to exactly one group

GROUP BY Changes Field Types From τ To bag $(\tau)^{10}$

```
SELECT t.b, t.d SELECT the(t.b) AS b, SUM(t.d) AS "Σd"

FROM T AS t FROM T AS t GROUP BY t.b
```

- t.d references current group of d values: violates 1NF!
 ⇒ After GROUP BY: must use aggregates on field values.
- t.b references current group of b values all of which are
 equal in a group ⇒ SQL: using just t.b is OK.
- (* May think of hypothetical aggregate the(<e>) that picks one among equal <e> values.)

¹⁰ A view of GROUP BY that is due to Philip Wadler.

Aggregates are Evaluated Once Per Group @

group	size	Σd	∀even(a)	all a
'X'	2	60	true	'2;4'
'y'	3	40	false	'1;3;5'

Grouping Criteria

- The grouping criteria (g_i) form a set order is irrelevant.
- Grouping on a key effectively puts each row in its own singleton group. (Typically a query smell .)
- Expressions that are **functionally dependent** on the <g_i> are constant within a group (and may be used in SELECT).
 - \circ If SQL does not know about the FD, explicitly add $\langle e \rangle$ to the set of $\langle g_i \rangle$ this will not affect the grouping.

Tables contain **bags of rows.** SQL provides the common family of binary **bag operations** (no row order):

- Row types (field names/types) of queries <qi>must match.
- With ALL, row multiplicities are respected: if row r occurs n_i times in <q_i>, r will occur max(n₁-n₂,0) times in <q₁> EXCEPT ALL <q₂> (INTERSECT ALL: min(n₁,n₂)).
 - Without ALL: obtain set semantics (no duplicates).

- Relational representation of *measures* (*facts*) depending on multiple parameters (*dimensions*).
- Example: table prehistoric with **dimensions** class, herbivore?, legs, **fact** species:

Table prehistoric

<u>class</u>	<u>herbivore?</u>	<u>legs</u>	species
'mammalia'	true	2	'Megatherium'
'mammalia'	true	4	'Paraceratherium'
'mammalia'	false	2	NULL
'mammalia'	false	4	'Sabretooth'
'reptilia'	true	2	'Iguanodon'
'reptilia'	true	4	'Brachiosaurus'
'reptilia'	false	2	'Velociraptor'
'reptilia'	false	4	NULL

- Analyze (here: group, then aggregate) table <T> along multiple dimensions ⇒ perform separate GROUP BYs on each relevant dimension:
- SQL syntactic sugar:

```
SELECT (e<sub>1</sub>), ..., (e<sub>m</sub>)

FROM (T) — Gi: grouping criteria

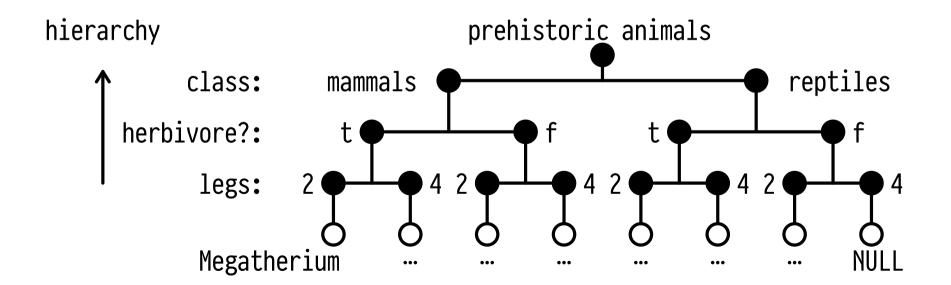
GROUP BY GROUPING SETS (G<sub>1</sub>,...,G<sub>n</sub>) — sets in (···)
```

Yields n individual GROUP BY queries q_i, glued together
 by UNION ALL. If ⟨e_j⟩ ∉ G_i, ⟨e_j⟩ ≡ NULL in q_i.

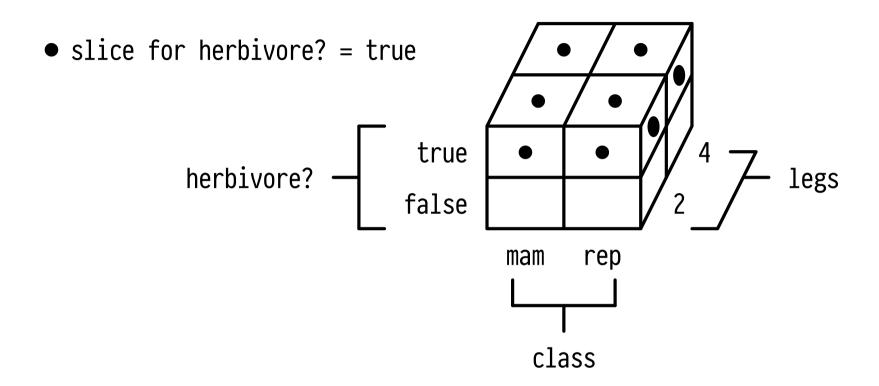
Hierarchical Dimensions: ROLLUP N

• Group along a path from any node Gn up to the root:

ROLLUP
$$(G_1, ..., G_n) \equiv GROUPING SETS ((G_1, ..., G_{n-1}, G_n), (G_1, ..., G_{n-1}), ..., (G_1), (G_1),$$



Analyze All Dimension Combinations: CUBE 🔌



CUBE
$$(G_1, ..., G_n) \equiv GROUPING SETS ((G_1, ..., G_n), \\ \vdots \\ ())$$
 all 2^n subsets considered

```
SELECT DISTINCT ON (<es> 1) <es> 2, <aggs> 6
FROM
   <QS>
WHERE 
GROUP BY (es> 4
HAVING 
 UNION / EXCEPT / INTERSECT 2 \ repeated 0 or more times,
                           ORDER BY (es)
OFFSET <n>
LIMIT <n>
```

• Reading order is: (7,3,6,1,0,4,5,8)+,9,0.

```
SELECT ...
FROM (SELECT ...
FROM (SELECT ...
FROM ...
: ) AS <descriptive>
: ) AS ...
:
```

- The more complex the query and the more useful the
 <descriptive> name becomes, the deeper it is buried.
- Query is a syntactic monolith. Tough to develop a query in stages/phases and assess the correctness of its parts.

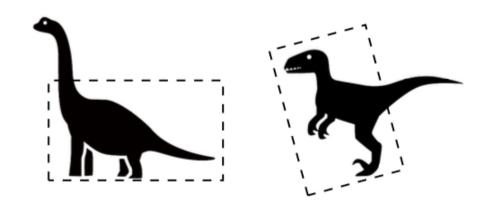
Use **common table expressions (CTEs)** to bind table names before they are used, potentially multiple times:

- "Literate SQL": Reading and writing order coincide.
- Think of let $\langle T_1 \rangle = \langle q_1 \rangle$, ... in $\langle q \rangle$ in your favorite FP language. The $\langle T_i \rangle$ are undefined outside WITH.

- 1. **Define queries in stages**, intermediate results in tables $\langle T_i \rangle$. May use $\langle q \rangle \equiv TABLE \langle T_i \rangle^{11}$ to debug stage i.
- 2. Bundle a query with test data:

¹¹ Syntactic sugar for SELECT t.* FROM <T_i> AS t.

Paleontology: dinosaur body shape (height/length ratio) and form of locomotion (using 2 or 4 legs) correlate:



 Use this correlation to infer bipedality (quadropedality) in incomplete dinosaur data sets:

<u>species</u>	height	length	legs
Gallimimus	2.4	5.5	?

Table dinosaurs

<u>species</u>	height	length	legs
Ceratosaurus	4.0	6.1	2
Deinonychus	1.5	2.7	2
Microvenator	0.8	1.2	2
Plateosaurus	2.1	7.9	2
Spinosaurus	2.4	12.2	2
Tyrannosaurus	7.0	15.2	2
Velociraptor	0.6	1.8	2
Apatosaurus	2.2	22.9	4
Brachiosaurus	7.6	30.5	4
Diplodocus	3.6	27.1	4
Supersaurus	10.0	30.5	4
Albertosaurus	4.6	9.1	NULL
Argentinosaurus	10.7	36.6	NULL
Compsognathus	0.6	0.9	NULL
Gallimimus	2.4	5.5	NULL
Mamenchisaurus	5.3	21.0	NULL
Oviraptor	0.9	1.5	NULL
Ultrasaurus	8.1	30.5	NULL

```
WITH
bodies(legs, shape) AS (
    SELECT d.legs, AVG(d.height / d.length) AS shape
    FROM dinosaurs AS d
    WHERE d.legs IS NOT NULL
    GROUP BY d.legs
)
:
```

Transient Table bodies

<u>legs</u>	shape
2	0.201
4	0.447

• Query Plan: 12 🗨

- 1. Assume average body shapes in bodies are available
- 2. Iterate over all dinosaurs d:
 - If locomotion for d is known, output d as is
 - If locomotion for d is unknown:
 - Compute body shape for d
 - Find the shape entry b in bodies that matches d the closest
 - Use the locomotion in b to complete d, output completed d

¹² In this course, *query plan* refers to a "plan of attack" for a query problem, not EXPLAIN output.